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Atty Dkt No. 8325-2001.30
Client No. G1-US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Reissue Patent Application of:

CHOO et al (U.S. Patent No. 6,007,988)

Serial No.: Unassigned

Group Art Unit: 1636

Filing Date: Herewith

Examiner: William Sandals

Title: RELATING TO BINDING
PROTEINS FOR RECOGNITION
OF DNA

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

EV036419911US

Sir:

Express Mail Mailing Label No.: EV 036 419 911 US

Date of Deposit: 27 December 2001

I hereby certify that the enclosed Reissue Application, Preliminary Amendment, Declaration by Inventors, 3.73(b) Certificate, Consent of Assignee, Power of Attorney by Assignee, and check for \$3,428 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Susan LaMont
Susan LaMont

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ATTORNEY DOCKET 8325-2001.30 DATE 12/27/2001

APPLICANT: CHOO et al.

TITLE: RELATING TO BINDING PROTEINS FOR RECOGNITION OF DNA

PAPERS ENCLOSED:

☒ SPECIFICATION 42 PAGES
☒ CLAIMS 3 PAGES
☒ ABSTRACT 1 PAGES
☒ DRAWINGS 14 PAGES
☒ DECLARATION 3 PAGES
☒ FILING FEE CHECK 3,428.
☐ IDS; PTO 1449 ☐ REFERENCES
☒ TITLE PAGE

☐ ASSIGNMENT & REC CVR SHEET
☒ CERTIFICATE OF EXPRESS MAIL
☐ VERIFIED STATEMENT
☒ PRELIMINARY AMENDMENT
☐ BLANKET PETITION
☐ SEQUENCE LISTING ☐ DISK
☐ STATEMENT TO SUPPORT...
☒ Transmittal, Fee Sheet,

3.73(b) Certificate, Consent of Assignee

Power of Attorney by Assignee

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10/033129
12/27/01

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5104

90-4153/1211

DATE 12/27/01

PAY
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\$ 3,428.00

Three thousand four hundred twenty-eight and ⁰⁰/₁₀₀ DOLLARS

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FOR 8325-2001.30

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PTO/SB/50 (02-01)
Approved for use through 01/31/2004. OMB 0651-0033

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REISSUE PATENT APPLICATION TRANSMITTAL

Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	8325-2001.30
	First Named Inventor	CHOO et al.
	Original Patent Number	6,007,988
	Original Patent Issue Date (Month/Day/Year)	Dec. 28, 1999
	Express Mail Label No.	EV 036 419 911 US

APPLICATION FOR REISSUE OF: ☒ **Utility Patent** ☐ **Design Patent** ☐ **Plant Patent**
(Check applicable box)

APPLICATION ELEMENTS (37 CFR 1.173)	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) (Submit an original, and a duplicate for fee processing)	10. <input checked="" type="checkbox"/> Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	11. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)
3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate)	12. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable)
4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52)	14. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)
6. <input checked="" type="checkbox"/> Power of Attorney	15. <input checked="" type="checkbox"/> Preliminary Amendment
7. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es)) <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)	16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table	17. Other:
9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM (2 copies) or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies

18. CORRESPONDENCE ADDRESS

☒ **Customer Number or Bar Code Label**  **or** ☐ **Correspondence address below**

Name			
Address	20855		
	PATENT TRADEMARK OFFICE		Zip Code
City	State	Fax	
Country	Telephone		

NAME (Print/Type)	Dahna S. Pasternak	Registration No. (Attorney/Agent)	41,411
Signature	<i>Dahna S. Pasternak</i>	Date	27 Dec 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) 8325-2001.30		
Claims as Filed - Part 1								
Claims in Patent	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity			
			Rate	Fee		Rate	Fee	
(A) 41	(B) 74	33 =	x \$ 42 =		or	x \$ 84 =		
(C) 4	(D) 6	2 =	x \$ 9 =			x \$ 18 =		
Basic Fee (37 CFR 1.16(h))				\$ 370			\$ 740	
Total Filing Fee				\$		OR	\$	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee		Rate
Total Claims (37 CFR 1.16(i))	74	MINUS	42	= 32	x \$ 42 =			x \$ 84 =
Independent Claims (37 CFR 1.16(i))	6	MINUS	6	= 0	x \$ 9 =			x \$ 18 =
Total Additional Fee						\$		OR
								\$ 3,428.00
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____.</p> <p>A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>18-1648</u>.</p> <p>A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ <u>3,428.00</u> to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p>								
<p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>								
<p><u>27 Dec 2001</u> Date</p>			<p style="text-align: center;"><i>Dahna S. Pasternak</i> Signature of Applicant, Attorney or Agent of Record</p> <p style="text-align: center;">Dahna S. Pasternak Typed or printed name</p>					

Attorney Docket No. SABI-029/02US (G1-US3)

PATENT

APR 8 1 2003
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Reissue, Commissioner for Patents, Washington, D.C. 20231 on March 26, 2003.

By: Diane Kizer

Diane Kizer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHOO et al. (U.S. Patent No. 6,007,988)

Examiner: T. McKelvey

Serial No.: 10/033,129

Group Art Unit: 1636

Confirmation No.: 1808

Filed: December 27, 2001

For: **RELATING TO BINDING PROTEINS FOR RECOGNITION OF DNA**

Box Reissue
Commissioner for Patents
Washington, D.C. 20231

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TRANSMITTAL OF RESPONSE

Enclosed are the following documents in response to the Office Action mailed February 3, 2003 for the above-identified application:

- [x] Response
- [x] Return receipt postcard
- [x] Other: a copy of the currently pending claims; a copy of the **version showing changes made** from the preliminary amendment filed December 27, 2001; a copy of the third preliminary amendment, received by the Patent Office on December 19, 2002 but unentered into the file; a copy of the signed "reissue application: consent of assignee" and postcard confirming receipt on December 27, 2001; the original of U.S. Patent No. 6,007,998; a copy of the certificate of correction correcting assignee from MRC to Gendaq; and a copy of the assignment from Sangamo to Gendaq and the 3.73(b) certification signed by an Officer of Gendaq.

The fee has been calculated as follows:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Total Claims		- 20 =		x \$18.00	
Independent Claims		- 3 =		x \$84.00	
If multiple dependent claims are presented, add \$280.00					
Total Amendment Fee					
If small entity status is applicable, subtract 50% of Total Amendment Fee					
Other fees: (specify)					
TOTAL FEE DUE					

☐ A check for the total fee is attached.

☐ Please charge \$ _____ to Deposit Account No. _____ for the total fee.
This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Respectfully submitted,
COOLEY GODWARD LLP

Dated: March 26, 2003

Cooley Godward LLP
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